



Review Paper

About common eigenvalues and eigenvectors of two and more completely continuous operators.

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Received 12 July, 2024; Revised 24 July, 2024; Accepted 26 July, 2024 © The author(s) 2024.

Published with open access at www.questjournals.org

In mathematics there are several results about common eigenvalues of two bounded polynomial bundles acting each in their own Hilbert space. We can indicate articles [1],[2].

In the special case of the bounded polynomial operator bundles depending on the identical parameters with the same highest degrees of parameter the necessary and sufficient conditions for existence of common eigenvalue is given in the article of Khayniq [2]. Balinskii [1] generalized this result to the case of polynomial bundles with the different highest degrees of the parameter.

Let be two polynomial bundles depending on the same parameter and acting generally speaking each in their own Hilbert space.

Resultant of polynomial bundles $A(\lambda)$ and $B(\lambda)$ is the operator presented as the determinant

$$\text{Res}(A(\lambda), B(\lambda)) = \begin{vmatrix} A_0 \otimes E_2 & A_1 \otimes E_2 & \dots & A_n \otimes E_2 & \dots & 0 \\ \cdot & \cdot & \dots & \cdot & \dots & \cdot \\ 0 & 0 & \dots & A_0 \otimes E_2 & A_1 \otimes E_2 & \dots & A_n \otimes E_2 \\ E_1 \otimes B_0 & E_1 \otimes B_1 & \dots & E_1 \otimes B_m & \dots & 0 \\ \cdot & \cdot & \dots & \cdot & \dots & \cdot \\ \cdot & \cdot & \dots & E_1 \otimes B_0 & E_1 \otimes B_1 & \dots & E_1 \otimes B_m \end{vmatrix} \text{ acting in}$$

the $(H_1 \otimes H_2)^{n+m}$ -direct sum of $n + m$ copies of tensor product space $H_1 \otimes H_2$ and

the number of rows $A_i \otimes E_2$ with the operators in the $\text{Res}(A_1(\lambda), A_2(\lambda))$ is equal to the

highest degree of the parameter λ in the bundle $B(\lambda)$, and the number of rows with the operators

$E_1 \otimes B_j$ is equal to the highest degree of parameter λ of the bundle $A(\lambda)$

From [1],[2] we have: if all operators $A_i (i = 0, 1, \dots, n)$ and $B_j (j = 0, 1, \dots, m)$ are bounded

$$\text{Ker} A_n = 0, \text{Ker} B_m = 0$$

$$\text{Ker Res}(A(\lambda), B(\lambda)) \neq 0$$

then the bundles $A(\lambda)$ and $B(\lambda)$ have a common point of their spectra.

This result for the purpose of application has been generalized on the case of several bounded polynomial bundles [3].

Now we give the sufficient, (in the special cases the necessary and sufficient) conditions for the existence of common eigenvalues and eigenvectors of two and more completely continuous operators.

Definitions[4],[5],[6]

1. λ is an eigenvalue of operator A if there is non-null element x such that $Ax - \lambda x = 0$

2. Element x is called a root vector of height k if the following equalities

$$(A - \lambda E)^k x = 0$$

$$(A - \lambda E)^{k-1} x \neq 0$$
 are satisfied.

Naturally the eigenvector x of operator A is the root vector of operator A of height 1.

Let A be bounded operator, λ is an eigenvalue, x_1, \dots, x_s are the corresponding eigenvectors.

Each eigenvector x_i of operator A corresponds the elements $x_{i,1}, x_{i,2}, \dots, x_{i,m_i}$ satisfying the conditions

$$(A - \lambda E)^k x_{i,k,k} = 0, (A - \lambda E)^{k-1} x_{i,k} \neq 0$$

The all linear independent root vectors $x_{i,1}, x_{i,2}, \dots, x_{i,r}$ with the heights $1, 2, \dots, r$ ($x_{i,s}$ – the root vector of height S of operator A corresponding to the its eigenvalue λ and to eigenvector $x_{i,1}$) is called a Jordan chain or a Jordan

cell. Operator A may be presented in the form

$$A = \frac{A + A^*}{2} + i \frac{A - A^*}{2i} \text{ where } T = \frac{A + A^*}{2} \text{ and } S = \frac{A - A^*}{2i} \text{ are completely}$$

continuous self-adjoint operators in Hilbert space. Similar we have $\frac{B - B^*}{2i}$

$$B = \frac{B + B^*}{2} + i \frac{B - B^*}{2i} \text{ where } B = \frac{B + B^*}{2} \text{ and } N = \frac{B - B^*}{2i} \text{ are } N = \frac{B + B^*}{2}$$

also completely continuous self-adjoint operators in H

Let E_i and F_s be the family of projective operators with the following properties[4],[5],[6]

1. $E_a = 0, E_b = 1$

$F_c = 0, F_d = 1$

2. $E_m E_n = E_k, k = \min(m, n)$

$$F_p F_q = F_r \quad r = \min(p, q)$$

$$3. E_t - E_{t-0} = P_t, F_s - F_{s-0} = R_s$$

where P_t is projective operator that projects onto eigen subspace of operator T , corresponding to its eigenvalue t and R_s is the projective operator that projects onto eigen subspace of operator S with the eigenvalue s .

Similar the expansions of unity K_t and L_k of operators M and N , correspondingly, satisfy the properties

$$1. K_c = 0, K_d = 1$$

$$L_c = 0, L_d = 1$$

$$2. K_m K_n = K_s \quad s = \min(m, n)$$

$$L_p L_q = L_r, \quad r = \min(p, q)$$

$$3. K_t - K_{t-0} = S_t, L_t - L_{t-0} = H_t$$

where S_t is projective operator onto eigen subspace of operator M , corresponding to its eigenvalue t and H_t is a projective operator onto eigen subspace of operator N , corresponding to its eigenvalues t .

Theorem 1. If $R(P_a R_b) \neq \{0\}$ and $R(S_a H_b) \neq \{0\}$ then

the completely continuous operators A and B have a common eigenvalue $a + ib$.

Proof. The condition $R(P_a R_b) \neq \{0\}$ means that $P_a \neq \{0\}$ and $R_b \neq \{0\}$. Similar from

$$R(S_a H_b) \neq \{0\}$$

follows that $S_a \neq \{0\}$ and $H_b \neq \{0\}$. According to definition of projective operators P_a, R_b

and also S_a, H_b we have that a is eigenvalue of operator $T = \frac{A + A^*}{2}$ and $M = B \frac{B + B^*}{2}$, b is

the eigenvalue of operators $S = \frac{A - A^*}{2i}$ and $N = \frac{B - B^*}{2i}$.

[7]. Condition $R(P_a R_b) \neq \{0\}$ means that $a + ib$ is eigenvalue of operator

A . Similar the condition $R(S_a H_b) \neq \{0\}$ means that $a + ib$ is eigenvalue of operator B . Theorem 1 is proven.

Theorem 2. If operators A and B are completely continuous and normal then the conditions $R(P_a R_b) \neq \{0\}$ and $R(S_a H_b) \neq \{0\}$ are necessary

and sufficient for these operators to have a common eigenvalue $a + ib$.

Proof. Sufficient of conditions

$R(P_a R_b) \neq \{0\}$ and $R(S_a H_b) \neq \{0\}$ is proven in Theorem 1.

Necessity. Let $a + ib$ is an eigenvalue of both operators A and B . If $a + ib$ is eigenvalue of

operator A and x corresponding eigenvector x then $A(a + ib)x$ and $A^*x = (a - ib)x$. x From formulas

for operators T and S we have $Tx = ax$ and $Sx = bx$.

Last means P_a, R_b are not equal to zero and $R(P_a R_b) \neq \{0\}$. Similar we prove

$$R(S_a H_b) \neq \{0\}$$

Theorem 2 is proven.

If there are several completely continuous operators A_m . For each operator $A_m = T_m + iS_m$

where $T_m = \frac{A_m + A_m^*}{2}$ and $S_m = \frac{A_m - A_m^*}{2i}$

Operators T_m and S_m are completely continuous operators acting in Hilbert space H . Introducing the

expansions of unity for the operators T_m and S_m and taking into account their properties we obtain

that projective operator $P_{m,t}$ projects onto eigensubspace of operator T_m , corresponding to its eigenvalue t

and the projective operator $R_{m,s}$ projects onto eigen subspace of operator S_m , with the eigenvalue s

Theorem 3.

Let $A_m (m = 1, 2, \dots, s)$ are completely continuous operators act in Hilbert space and

$$R(S_{m,a} H_{m,b}) \neq \{0\}$$

Then all operators A_m have a common eigenvalue Proof of Theorem 3 similar to proof of Theorem 2.

Theorem 4.

Let for some four real numbers a, b, c, d the projective operator $P_a R_b S_c H_d \neq 0$ projects onto

nonzero subspace then completely continuous operators A and B in Hilbert space have a common eigenvector.

Really [7] [8]. projective operator $P_a R_b S_c H_d$ projects onto intersection of eigen subspaces

of operators A with eigenvalue $a + ib$ and operator B with eigenvalue $c + id$.

We have $P_a R_b S_c H_d \subset P_a R_b$

$$P_a R_b S_c H_d \subset S_c H_d$$

$$P_a R_b S_c H_d \neq 0$$

The condition $R(P_a R_b) \neq \{0\}$ means that $a + ib$ is the eigenvalue of operator A .

The condition $R(S_c H_d) \neq \{0\}$ means $c + id$ is the eigenvalue of operator B

The condition $R(P_a R_b S_c H_d) \neq \{0\}$ means that the operators A and

B have common eigenvector so projection of projective operator $P_a R_b S_c H_d$ is contained in projections of operators $P_a R_b$ and $S_c H_d$. Each element from $R((P_a R_b S_c H_d))$ is common eigenvector of operators A and B

Theorem4 is proven.

Theorem5.

Let be $A_m (m = 1, 2, \dots, s)$ completely continuous operators acting in Hilbert space and for several

complex numbers $a_m + ib_m$ $P_{1,a_1} R_{1,b_1} \dots P_{s,a_s} R_{s,b_s} \neq 0$ $\bigcap_{(or\ m=1}^s P_{m,a_m} R_{m,b_m} \neq 0$)

$$S_{m,a} H_{m,b} \neq \{0\} \quad A_m (m = 1, 2, \dots, s).$$

The proof of Theorem5 similar to proof of Theorem4

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