



To the spectral theory of polynomial bundles in Hilbert space

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Spectral theory of operators is the essential direction of functional analysis and arose from the needs of ordinary differential equations and operator differential equations [1],[11]. Spectral theory of operator bundles took the important place in spectral theory of operators. In its turn the consideration of Cauchy's problem [1] with several initial conditions led to the study of the general theory of equations of type

$$L(\lambda) = A_0 + \lambda A_1 B + \lambda^2 A_2 B^2 + \dots + \lambda^{n-1} A_{n-1} B^{n-1} + \lambda^n B^n, \quad (1)$$

where $A_i (i = 0, 1, \dots, n)$ and B are completely continuous operators acting in Hilbert space H . The bundle $L(\lambda)$ led to the study of questions of multiple completeness and multiple decompositions on eigen and associated vectors of the polynomial bundle $L(\lambda)$ in Hilbert space. The bundle $L(\lambda)$ is known as Keldysh's bundle and it was studied in [1]. In connection with the M.V. Keldysh's considerations it is known that n multiple completeness of eigen and associated vectors of bundle $L(\lambda)$ is true when the operators $A_i (i = 0, 1, \dots, n)$ are completely continuous, B is self-adjoint completely continuous operator with the

restrictions on the location of its spectrum, besides operator B has the finite order and $\text{Ker} B = \{0\}$. The fundamental result of Keldysh [1] was generalized by many authors in many different directions. Here we should note the works of J.E. Allahverdiev [2], M.Q. Qasymov [3], A.Q. Kosstyuchenko and Q.V. Radzievskii [4], Q. Radzievskii [5] and many others. Theorems about multiple decompositions on eigen and associated vectors with brackets of the Keldysh bundle $L(\lambda)$ are proven in works of R.M. Dzhabarzadeh [6], V.N. Vizitey, A.S. Markus [8] when the operators $A_i B^{-i}$ are bounded and $\lim_{k \rightarrow \infty} k \mu_k^{-p} = \infty$, also when the operators $A_i B^{-i}$ are completely continuous and $\lim_{k \rightarrow \infty} k \mu_k^{-p} < \infty$. The sequence μ_k is the different modules of characteristic

numbers of operator B arranged in descending order taking into account their multiplicity.

We should also note the result about summation by root subspaces of completely continuous operator using the generalized Abel method [9].

Let $A(\lambda) = E - \lambda A_1 - \dots - \lambda^{n-1} A_{n-1} - \lambda^n A_n$ be a polynomial bundle where A_i are bounded operators acting in Hilbert space H .

We introduce some definitions [1],[10],[11].

1. If for some nonzerovector y_0 we have $A(c)y_0 = y_0$ then y_0 is called an eigenvector of operator $A(\lambda)$ corresponding to eigenvalue C .

2. Vector y_k is called a k -th associated vector to the

eigenvector y_0 if the following equalities

$$y = A(c)y$$

$$y_1 = A(c)y_1 + \frac{1}{1!} \frac{\partial A(c)}{\partial c} y \quad (2)$$

.....

$$y_k = A(c)y_k + \frac{1}{1!} \frac{\partial A(c)}{\partial c} y_{k-1} + \dots + \frac{1}{k!} \frac{\partial^k A(c)}{\partial c^k} y$$

are fulfilled.

The system of linear independent eigen and associated vectors is called a chain of eigen and associated

(e.a.)vectors of operator $A(\lambda)$ corresponding to eigenvalue C . The number of e.a. vectors in the chain of e.a. vectors is called a length

of eigenvector y_0 . Totality all independent eigensnd associated vectors corresponding to all eigen vectors with eigenvalue C is called the multiplicity of eigenvalue C .

3.M.V.Keldysh built the derivative systems with the help of the formulas

$$\left[\frac{d^k}{dt^k} e^{\lambda t} \left(x_k + \frac{1}{1!} x_{k-1} + \dots + \frac{1}{k!} x_0 \right) \right] (t=0) \quad k = 1, 2, \dots, s \quad (3)$$

4. System of eigen and associated vectors of operator bundle $A(\lambda)$ in space H

forms the n -multiple complete system if any n elements f_0, f_1, \dots, f_{n-1} of the space H can be

approximated with the help of linear combinations of elements $\{x_i^{(j)}\}_{i=1}^{\infty}, j = 0, 1, 2, \dots, n-1$

in accordance with predetermined accuracy and the same coefficients, not depending on indices of elements f_0, f_1, \dots, f_{n-1} .

5. The system of subspaces $\{M_k\}_{k=1}$ forms n -multiple basis if any element x may be presented in the

form $x = \sum_{j=1}^{\infty} x_j$ where x_j from M_j . The study of spectral properties of equation $L(\lambda)x = x$ in Hilbert

space led to the study of the spectral properties of the equation

$$Ax + \lambda Bx = x \quad (4)$$

where the operators $\bar{A} = \begin{pmatrix} A_0 & A_1 & \dots & A_{n-1} \\ 0 & 0 & \dots & 0 \\ \cdot & \cdot & \dots & \cdot \\ 0 & 0 & \dots & 0 \end{pmatrix}$,

$$\bar{B} = \begin{pmatrix} 0 & 0 & 0 & \dots & B \\ B & 0 & 0 & \dots & 0 \\ 0 & B & 0 & \dots & 0 \\ \cdot & \cdot & \cdot & \dots & \cdot \\ 0 & 0 & 0 & \dots B & 0 \end{pmatrix} \text{ and } \bar{E} = \begin{pmatrix} E & 0 & \dots & 0 \\ 0 & E & \dots & 0 \\ \cdot & \cdot & \dots & \cdot \\ 0 & 0 & \dots & E \end{pmatrix}$$

act in direct sum of n copies of Hilbert space H [6],[7],[8].

Let the following conditions

a)

operators

$A_i (i=0,1,\dots,n-1)$ and operator B are completely continuous

b) $Ker(E - A_0) = \{\mathcal{G}\}$, $Ker B = \{\mathcal{G}\}$ are fulfilled.

From the conditions $Ker(E - A_0) = \{\mathcal{G}\}$ and completely continuity of operator \bar{A} follow that the

operator $\bar{E} - \bar{A}$ has a bounded inverse. Besides completely continuity of operators \bar{A} and \bar{B} mean

$(\bar{E} - \bar{A})^{-1} \bar{B}$ is a completely continuous operator, and it has the form

$$\begin{pmatrix} (E - A_0)^{-1} A_1 B & \dots & (E - A_0)^{-1} A_{n-1} B & (E - A_0)^{-1} B \\ B & \dots & 0 & 0 \\ \cdot & \dots & \cdot & \dots \\ 0 & \dots & B & 0 \end{pmatrix}$$

where

$$(\bar{E} - \bar{A})^{-1} = \begin{pmatrix} (E - A_0)^{-1} & (E - A_0)^{-1} A & \dots & (E - A_0)^{-1} A_{n-1} \\ 0 & E & \dots & 0 \\ \cdot & \cdot & \dots & 0 \\ 0 & 0 & \dots & E \end{pmatrix} \text{ and}$$

$$\bar{B} = \begin{pmatrix} 0 & 0 & 0 & \dots & B \\ B & 0 & 0 & \dots & 0 \\ 0 & B & 0 & \dots & 0 \\ \cdot & \cdot & \cdot & \dots & \cdot \\ 0 & 0 & 0 & \dots B & 0 \end{pmatrix}$$

We introduce the new notations $\bar{T} = \frac{(\bar{E} - \bar{A})^{-1} \bar{B} + ((\bar{E} - \bar{A})^{-1} \bar{B})^*}{2}$

and $\bar{S} = \frac{(\bar{E} - \bar{A})^{-1} \bar{B} - ((\bar{E} - \bar{A})^{-1} \bar{B})^*}{2i}$

Let \tilde{E}_t be the expansion of unity of operator \bar{T} and \tilde{F}_s is the expansion of unity of operator \bar{S} [10].

Since the operators \bar{T} and \bar{S} are bounded there is some numbers a, b, c, d that the following equalities take place

$$1. \tilde{E}_a = 0, \tilde{E}_b = 1$$

$$\tilde{F}_c = 0, \tilde{F}_d = 1$$

$$2. \tilde{E}_{tm} \tilde{E}_n = \tilde{E}_{\min(m,n)},$$

$$\tilde{F}_l \tilde{F}_s = \tilde{F}_{\min(l,k)}$$

$$3. \tilde{E}_t - \tilde{E}_{t-0} = P_t$$

$$\tilde{F}_s - \tilde{F}_{s-0} = R_s$$

where P_t is a projective operator that projects onto the eigen subspace of operator \bar{T} corresponding to its eigenvalue t , and R_s is projective operator that projects onto the eigen subspace of operator \bar{S} corresponding to its eigenvalue S .

Theorem. Let $L(\lambda)$ be the operator bundle where $A_i (i = 0, 1, \dots, n)$ and B are completely continuous

operators acting in Hilbert space H , $Ker(E - A_0) = \{\mathcal{G}\}$, $KerB = \{\mathcal{G}\}$

If for some real numbers t and S two parameter projective operator $P_t R_s \neq 0$ then $(t - is) / (t^2 + s^2)$ is the eigenvalue of the bundle $L(\lambda)$.

Proof. The condition $P_t R_s \neq 0$ means that the range of operator $P_t R_s$ contains thou one nonzero vector \bar{x} , besides $P_t R_s \subset P_t$ and $P_t R_s \subset R_s$. The last means that

\bar{x} enters the range of both operators P_t and R_s . From [12], [13], [14] we have that t is the eigenvalue of operator \bar{T} , and S is the eigenvalue of operator \bar{S} .

$t + is$ is the eigenvalue of the operator $(\bar{E} - \bar{A})^{-1} \bar{B}$ that is $(\bar{E} - \bar{A})^{-1} \bar{B} \bar{x} = (t + is) \bar{x}$

Acting on both side on last equation by the operator $(t + is)^{-1} (\bar{E} - \bar{A})$ we have that $1 / (t + is)$ is an eigenvalue of equation $\bar{A} \bar{x} + \lambda \bar{B} \bar{x} = \bar{x}$

It is known that eigenvalues of bundle $L(\lambda)$ and equation (4) coincide. For proof of this Theorem ii is enough

to prove if $t + is$ is the eigenvalue c of operator $(\bar{E} - \bar{A})^{-1} \bar{B}$ then $(t + is)^{-1}$ is an eigenvalue

of bundle $L(\lambda)$. From the conditions of Theorem follows the eigenvalues of operator $(\bar{E} - \bar{A})^{-1} \bar{B}$ could not be zero.

Really let $\bar{x} = (x_0, x_1, \dots, x_{n-1})$ be the eigenvector of operator $(\bar{E} - \bar{A})^{-1} \bar{B}$

corresponding to eigenvalue λ then the equation $\bar{A} \bar{x} + \lambda^{-1} \bar{B} \bar{x} = \bar{x}$ may be presented in the form of system of equalities:

$$\begin{aligned}
 x_0 &= A_0 x_0 + A_1 x_1 + \dots + A_{n-1} x_{n-1} + \lambda^{-1} B x_{n-1} \\
 x_1 &= \lambda^{-1} B x_0 \\
 x_2 &= \lambda^{-1} B x_1 \\
 &\dots\dots\dots \\
 x_{n-1} &= \lambda^{-1} B x_{n-2}
 \end{aligned}
 \tag{5}$$

Sequentially expressing x_j through $\lambda^{-1} B x_{j-1}$, x_{j-1} through $\lambda^{-1} B x_{j-2}$ from (5) and continued this process, in the end we have

$$\begin{aligned}
 x_k &= \lambda^{-k} B^k x_0 \quad (6) \\
 k &= 1, 2, \dots, n-1
 \end{aligned}$$

Substituting the expressions from (6) into the first equation of (5) we obtain that λ^{-1} is the eigenvalue of bundle $L(\lambda)$, the first component of eigenvector of operator $(\overline{E} - \overline{A})^{-1} \overline{B}$ is the eigenvector of $L(\lambda)$, the second, third and other components are the elements of first, second and other elements of corresponding derivative systems built by the formulas (3). Theorem is proven.

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