



Uniqueness Results for the Euler Characteristic and the Pick Formula

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ABSTRACT: Two uniqueness results are proven on the class of binary polygonal images: one for the Euler characteristic, the other for the Pick formula.

KEYWORDS: binary polygonal image, Euler characteristic, Pick formula.

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I. BASICS

A binary polygonal image (shape) P is defined as a finite collection of nonintersecting simple polygons (without holes or with nonintersecting holes). Each polygon in the collection is called a component; a hole is a polygon placed inside a component. A component is considered a *foreground* component; a hole is a *background* component. Some foreground components may be placed inside holes. A binary polygonal image has a hierarchical structure. If we denote by C the number of components and by H the total number of holes, then we define the Euler characteristic of P as $\chi(P) = C - H$.

If there are no holes in the components, then $\chi(P) = C$; if there is only one component, then $\chi(P) = 1 - H$; and if the only component has no holes, then $\chi(P) = 1$.

A *triangulation* of a polygonal image P is a decomposition of P into triangles, such that each border vertex of P is a vertex of one or more triangles, each border edge of P is an edge of one triangle, and every two triangles do not intersect, or they have a common vertex or a common edge and two common vertices. Given a triangulation of P , the Euler characteristic can be redefined as $\chi(P) = v(P) - e(P) + f(P)$, where $v(P)$ is the number of triangulation vertices, $e(P)$ is the number of triangulation edges, and $f(P)$ is the number of triangulation faces (triangles).

II. THE INTEGRAL LATTICE

Consider the integral lattice in the plane (the set of all points with integer coordinates). Let P be a binary polygonal image, all of whose vertices belong to the lattice (we call it a lattice polygonal image). A *lattice decomposition* (*lattice triangulation*) of P is a triangulation of P such that all of its vertices have integer coordinates. Each face of this triangulation is a *lattice triangle* (its vertices are lattice points, i.e. their coordinates are integers).

A lattice triangle is *primitive* if there are no lattice points in its interior and the only lattice points on its boundary are its three vertices. As shown in [1], the area of a primitive lattice triangle is always $\frac{1}{2}$.

In the sequel we will also use the notations: $v(P) = v_i(P) + v_b(P)$, where $v_i(P)$ is the number of triangulation vertices interior to P and $v_b(P)$ is the number of triangulation vertices on its boundary; $e(P) = e_i(P) + e_b(P)$, where $e_i(P)$ is the number of triangulation edges interior to P and $e_b(P)$ is the number of triangulation edges on its boundary.

The Pick formula was defined in [2] and redefined in [3] as

$A(P) = \frac{1}{2}f(P) = v_i(P) + \frac{1}{2}v_b(P) - \chi(P)$ ($A(P)$ is the area of P). As shown in [3], each lattice polygonal image admits a lattice decomposition (subdivision) into primitive triangles (not in a unique way).

III. FROM EULER TO PICK

The Pick formula can be derived from the Euler formula in a simple way; for a proof, see [4]. We give here a slightly modified variant of the proof in [5].

Consider a lattice polygonal image P with a given lattice decomposition into primitive triangles. Since $v_b(P) = e_b(P)$, we have $\chi(P) = v(P) - e(P) + f(P) = v_i(P) - e_i(P) + f(P)$. Each face contributes three edges to the count; each interior edge appears exactly twice and each boundary edge appears only once. Therefore, $3f(P) = 2e_i(P) + e_b(P) = 2e_i(P) + v_b(P)$ and $e_i(P) = \frac{3}{2}f(P) - \frac{1}{2}v_b(P)$.

This implies $\chi(P) = v_i(P) - \frac{3}{2}f(P) + \frac{1}{2}v_b(P) + f(P) = v_i(P) + \frac{1}{2}v_b(P) - \frac{1}{2}f(P)$ and,

therefore, $A(P) = \frac{1}{2}f(P) = v_i(P) + \frac{1}{2}v_b(P) - \chi(P)$ (the Pick formula).

IV. MEDIAL SUBDIVISIONS

Let P be a lattice polygonal image with a given lattice decomposition into primitive triangles. We define the medial subdivision mP of P in the following way. Each edge is divided by its middle point into two edges. In each triangle, the three middle points of its edges are connected by three new edges; the triangle is thus divided into four equal sized smaller triangles. We also refine the integral lattice such that it contains all points with coordinates $\left(\frac{a}{2}, \frac{b}{2}\right)$ (a and b integers). We then expand the plane by $(x, y) \rightarrow (2x, 2y)$; the refined lattice turns into the original integral lattice. Each middle point of an edge becomes a lattice vertex; each new triangle becomes a primitive lattice triangle. The numbers of the various elements of mP are related to those of P by the following formulas:

$$\begin{aligned} v_i(mP) &= v_i(P) + e_i(P) \\ v_b(mP) &= 2v_b(P) \\ e_i(mP) &= 2e_i(P) + 3f(P) \\ e_b(mP) &= 2e_b(P) \\ f(mP) &= 4f(P) \end{aligned}$$

The Euler characteristic is invariant under the medial subdivision operation:

$$\begin{aligned} \chi(mP) &= v(mP) - e(mP) + f(mP) = v_i(mP) - e_i(mP) + f(mP) \\ &= v_i(P) + e_i(P) - 2e_i(P) - 3f(P) + 4f(P) \\ &= v_i(P) - e_i(P) + f(P) = v(P) - e(P) + f(P) = \chi(P) \end{aligned}$$

The Pick formula can be rewritten in the following way:

$$\chi(P) = v_i(P) + \frac{1}{2}v_b(P) - \frac{1}{2}f(P)$$

It is obviously an invariant under medial subdivision. Alternatively,

$$\begin{aligned} v_i(mP) + \frac{1}{2}v_b(mP) - \frac{1}{2}f(mP) &= v_i(P) + e_i(P) + v_b(P) - 2 \cdot f(P) = \\ v_i(P) + \frac{3}{2}f(P) - \frac{1}{2}v_b(P) + v_b(P) - 2 \cdot f(P) &= v_i(P) + \frac{1}{2}v_b(P) - \frac{1}{2}f(P) \end{aligned}$$

V. UNIQUENESS OF THE EULER CHARACTERISTIC

Consider the class \mathcal{LP} of all lattice polygonal images P , each with a given lattice decomposition. Our first uniqueness result is

Theorem 1. If $\lambda: \mathcal{LP} \rightarrow R$ is a function defined by

$$\lambda(P) = \alpha \cdot v_i(P) + \beta \cdot e_i(P) + \gamma \cdot f(P), \quad \alpha, \beta, \gamma \in R,$$

and satisfying $\lambda(mP) = \lambda(P)$ for each $P \in \mathcal{LP}$.

Then $\lambda = \kappa \cdot \chi: \mathcal{LP} \rightarrow R$, where $\kappa \in R$ is a constant and the function is defined by

$$(\kappa \cdot \chi)(P) = \kappa \cdot (v_i(P) - e_i(P) + f(P)).$$

Proof: If $P \in \mathcal{LP}$, then

$$\begin{aligned} \lambda(mP) &= \alpha \cdot v_i(mP) + \beta \cdot e_i(mP) + \gamma \cdot f(mP) \\ &= \alpha \cdot (v_i(P) + e_i(P)) + \beta \cdot (2e_i(P) + 3f(P)) + 4\gamma \cdot f(P) \\ &= \alpha \cdot v_i(P) + (\alpha + 2\beta) \cdot e_i(P) + (3\beta + 4\gamma) \cdot f(P) \end{aligned}$$

The invariance condition $\lambda(mP) = \lambda(P)$ implies

$$(\alpha + \beta) \cdot e_i(P) + 3(\beta + \gamma) \cdot f(P) = 0$$

For the image P_1 that contains one triangle with vertices $(-1, 0)$, $(0, 0)$, $(0, 1)$: $e_i(P_1) = 0$, $f(P_1) = 1$, then $\beta + \gamma = 0$. For the image P_2 that contains the triangle in P_1 and one more triangle with vertices $(0, 0)$, $(0, 1)$, $(1, 1)$: $e_i(P_2) = 1$, $f(P_2) = 2$, then $\alpha + \beta = 0$. Therefore, $\alpha = -\beta = \gamma$ and we derive the result

$$\lambda(P) = \gamma \cdot (v_i(P) - e_i(P) + f(P))$$

VI. UNIQUENESS OF THE PICK FORMULA

Our second uniqueness result is

Theorem 2. If $\lambda: \mathcal{LP} \rightarrow R$ is a function defined by

$$\lambda(P) = \alpha \cdot v_i(P) + \beta \cdot v_b(P) + \gamma \cdot f(P), \quad \alpha, \beta, \gamma \in R,$$

and satisfying $\lambda(mP) = \lambda(P)$ for each $P \in \mathcal{LP}$.

Then $\lambda = \kappa \cdot \chi: \mathcal{LP} \rightarrow R$, where $\kappa \in R$ is a constant and the function is defined by

$$(\kappa \cdot \chi)(P) = \kappa \cdot \left(v_i(P) + \frac{1}{2}v_b(P) - \frac{1}{2}f(P) \right).$$

Proof: If $P \in \mathcal{LP}$, then

$$\begin{aligned}\lambda(mP) &= \alpha \cdot v_i(mP) + \beta \cdot v_b(mP) + \gamma \cdot f(mP) \\ &= \alpha \cdot (v_i(P) + e_i(P)) + 2\beta \cdot v_b(P) + 4\gamma \cdot f(P)\end{aligned}$$

The invariance condition $\lambda(mP) = \lambda(P)$ implies

$$\alpha \cdot e_i(P) + \beta \cdot v_b(P) + 3\gamma \cdot f(P) = 0$$

Since $e_i(P) = \frac{3}{2}f(P) - \frac{1}{2}v_b(P)$, we get

$$\alpha \cdot \left(\frac{3}{2}f(P) - \frac{1}{2}v_b(P) \right) + \beta \cdot v_b(P) + 3\gamma \cdot f(P) = 0$$

therefore,

$$\left(\beta - \frac{\alpha}{2} \right) \cdot v_b(P) + 3 \cdot \left(\frac{\alpha}{2} + \gamma \right) \cdot f(P) = 0$$

For the image P_1 that contains one triangle with vertices $(-1,0)$, $(0,0)$, $(0,1)$: $v_b(P_1) = 3$, $f(P_1) = 1$, then $3\beta + 3\gamma = 0$. For the image P_2 that contains the triangle in P_1 and one more triangle with vertices $(0,0)$, $(0,1)$, $(1,1)$: $v_b(P_2) = 4$, $f(P_2) = 2$, then $\alpha + 4\beta + 6\gamma = 0$. Therefore, $2\alpha = \beta = -2\gamma$ and we derive the result

$$\lambda(P) = (-2\gamma) \cdot \left(v_i(P) + \frac{1}{2}v_b(P) - \frac{1}{2}f(P) \right)$$

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